



Dear Client

As required in terms of the Financial Advisory and Intermediary Services Act, 2002 (“FAIS”), please see our company information and other disclosures required in terms of FAIS below.

Our business details are as follows:

Business Name:	Purple Group Limited
Registration Number:	1998/013637/06
FSP License Number:	46315
Licensed Categories:	Category I
Physical Address:	16th Floor, 25 Owl Street, Braamfontein Werf, Johannesburg, 2092
Postal Address:	PostNet Suite 247, Private Bag X 1, Melrose Arch, 2076
Contact Person:	Rika Pieterse
Telephone Number:	010 141 2101
E-mail Address:	info@purplegroup.co.za
Website:	www.purplegroup.co.za

Executive Directors: • **Charles Savage/CEO** • **Gary van Dyk/CFOO**

Non-Executive Directors • **Happy Ntshingila/Chairman** • **Craig Carter** • **Bonang Mohale** • **Mark Barnes** • **Arnold Forman**

Company Secretary: 4 Africa Exchange Registry (Pty) Ltd (“4AXR”)

- **Website:** www.purplegroup.co.za • **Telephone:** 010 141 2101 • **Address:** 16th Floor, 25 Owl Street, Braamfontein Werf, Johannesburg, 2092 • **Postal Address:** **PostNet Suite 247, Private Bag X1, Melrose Arch, 2076**
- **Registration Number:** 1998/013637/06. Formerly known as Purple Capital Limited



GROUP COMPANIES: Purple Group Limited is the holding company of other entities within the wider Purple Group Limited group of companies which may provide financial services to clients.

The direct subsidiaries of Purple Group Limited are:

- GT247 (Pty) Ltd t/a GT247.com (an authorised Financial Services Provider and a Juristic Representative of Purple Group Limited) FSP 46315
- EasyProperties (Pty) Ltd is a juristic representative of First World Trader (Pty) Ltd t/a EasyEquities (an authorised Financial Services Provider) FSP 22588
- Emperor Asset Management (an authorised Financial Services Provider) FSP 44978
- First World Trader (Pty) Ltd t/a EasyEquities (an authorised Financial Services Provider) FSP 22588

The registered address for the above entities is 16th Floor, 25 Owl Street, Braamfontein Werf, Johannesburg, 2092

DISCLOSURES: The relevant fees/commissions payable to Purple Group Limited

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SUB-CATEGORY AND FINANCIAL PRODUCT AUTHORISATIONS: Purple Group Limited is authorised in the following sub-categories and financial products noted below:

Authorised in respect of advisory and intermediary services for the following products:			
	Category Description	Advice Non-automated	Intermediary Other
CATEGORY I			
1.3	Long-Term Insurance subcategory B1	X	X
1.4	Long-Term Insurance subcategory C	X	X
1.5	Retail Pension Benefits	X	X
1.7	Pension Funds Benefits	X	X
1.8	Shares	X	X
1.9	Money market instruments	X	X
1.10	Debentures and securitised debt	X	X
1.11	Warrants, certificates and other instruments	X	X
1.12	Bonds	X	X
1.13	Derivative instruments	X	X
1.14	Participatory interests in a collective investment scheme	X	X
1.18	Short-term Deposits	X	X
1.20	Long-term insurance subcategory B2	X	X
1.21	Long-term Insurance subcategory B2-A	X	X
1.22	Long-term Insurance subcategory B1-A	X	X
1.24	Structured Deposits	X	X
1.26	Participatory interest in a hedge fund	X	X

No conditions or restrictions have been imposed by the Registrar.

COMMITMENT TO OUR CLIENTS: As a licensed FSP we will always strive to serve and protect your best interests.

WAIVER OF RIGHTS: Purple Group Limited may not request or induce the client in any manner to waive any right or benefit conferred on the client by or in terms of any provision of the General Code of Conduct under the FAIS Act, or recognise, accept or act on any such waiver by the client, and any such waiver will be null and void.

PROFESSIONAL INDEMNITY COVER AND FIDELITY INSURANCE: Purple Group Limited holds Professional Indemnity Insurance.

FICA: Purple Group Limited is an “accountable institution” as contemplated in Schedule 1 of the Financial Intelligence Centre Act (“FICA”). The Purple Group Limited is therefore required to comply with the requirements for the combatting of money laundering and terrorist financing as prescribed in FICA and as more fully set out in its Risk Management and Compliance Program.

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COMPLIANCE: Should you have any concerns or complaints; you can contact the Compliance Officer.

Name: Rika Pieterse

Email: rpieterse@purplegroup.co.za

CONFLICT OF INTEREST: A copy of our conflict of interest policy is available on our website, www.purplegroup.co.za

CONFIDENTIALITY: Purple Group Limited will not disclose any personal information acquired or obtained from you as the client unless we receive your written consent beforehand or we are required to disclose in terms of public interest or under any law. A request for personal information Purple Group Limited holds on the client can be made to the Information Officer, please see details below:

Contact Details of the Information Officer

Information Officer: Rika Pieterse

Physical Address: 16th Floor, 25 Owl Street, Auckland Park, Johannesburg, 2092

Telephone number: +27 10 141 2101

Email address: rpieterse@purplegroup.co.za

COMPLAINTS: A copy of our complaints handling process is available on our website, www.purplegroup.co.za

DETAILS OF THE FAIS OMBUD: If your complaint is not resolved to your satisfaction, you may submit your complaint to the FAIS OMBUD, with the following details:

Authority Name: FAIS OMBUD – Office of the Ombud of the Financial Service Providers

Physical Address: Kasteelpark, Orange Building, 2nd Floor, 546 Jochemus Street, Erasmuskloof, Pretoria

Postal Address: P.O. Box 74571, Lynwood Ridge, 0040

Telephone Number: +27 (12) 762 5000 or +27 (12) 470 9080

Fax Number: 086 764 1422 / 012 470 9097 / 012 348 3447

E-mail Address: info@faisombud.co.za

Website: www.faisombud.co.za

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