



**PURPLE GROUP**  
LIMITED

**Full Business and Trade name:** Purple Group Limited  
**FSP license Number:** 46315  
**Licensed financial services:** Category I  
**Conditions/restrictions:**

1. The FSP must inform the Registrar of any change i.r.o. business information of the FSP.
2. The FSP must at all times maintain the services of any Key Individual and ensure full compliance with Section 8 (4)(b) of the Act.
3. The FSP must within one month of the date contemplated in Section 7 of the Act, inform the Registrar of any change effected to the details as contained in the register.
4. The FSP must not change the name of the business as reflected on the licence, unless certain conditions are met and the Registrar has issued an appropriately amended licence.
5. The FSP must at all times ensure that any financial product i.r.o. which the FSP intends to render a financial service qualifies as a financial product and is lawfully issued.

A full list of the conditions is available on request from the Compliance Officer.

**Registration number:** **1998/013637/06**  
**Postal address:** Postnet Suite 247, Private Bag X1, Melrose Arch, 2196  
**Physical address:** 16th Floor, 25 Owl Street, Braamfontein Werf, 2092

**Telephone:** +27 87 940 6000  
**Fax number:** +27 214 8028  
**Website:** <http://www.purplegroup.co.za>  
**E-mail address:** [info@purplegroup.co.za](mailto:info@purplegroup.co.za)

**COMPLIANCE DEPARTMENT**

**Name:** Mrs. S Adsetts  
**Company:** Moonstone  
**Telephone:** +27 12 653 6029  
**Licensed financial services:** Category I  
**E-mail:** [sadsetts@moonstonecompliance.co.za](mailto:sadsetts@moonstonecompliance.co.za)

Executive Directors: • Charles Savage/CEO • Gary van Dyk/CFOO Non-Executive Directors: • Mark Barnes/Chairman • Ronnie Lubner • Dennis Alter • Craig Carter Company Secretary: 4 Africa Exchange Registry (Pty) Ltd ("4AXR")

• Website: [www.purplegroup.co.za](http://www.purplegroup.co.za) • Telephone: 087 940 6000 • Address: 16th Floor, 25 Owl Street, Auckland Park, Johannesburg, 2092 • Postal Address: PO Box 411449 Craighall 2024

• Registration Number: 1998/013637/06. Formerly known as Purple Capital Limited



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**INTERNAL COMPLIANCE &  
COMPLAINTS DEPARTMENT**

**Name:** Kele Mokgosi  
**Telephone:** +27 (0) 87 940 6000  
**E-mail:** [info@purplegroup.co.za](mailto:info@purplegroup.co.za)

**FAIS Exemptions:**

1. Exemption on services under Supervision in terms of the requirements and conditions of obtaining experience (BN 95 of 2003).
2. Exemption of licensees as regards display certified copies of licenses (BN 40 of 2004)

**Fidelity Insurance Cover:** R10 000 000.00

**Authorised in respect of advisory and intermediary services for the following products:**

Category Description	Advice	Intermediary
<b>CATEGORY I- Advice and Intermediary Services</b>		
Long-Term Insurance: Category B1	X	X
Long-Term Insurance: Category B2	X	X
Long-Term Insurance: Category C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits (excluding retail)	X	X
Deposits defined in the Banks act- 12 months or less	X	X
Securities and Instruments: Shares	X	X
Securities and Instruments: Bonds	X	X
Securities and Instruments: Derivative instruments	X	X
Securities and Instruments: Money Market instruments	X	X
Securities and Instruments: Debentures and securitised debt	X	X
Securities and Instruments: Warrants, certificates and other instruments	X	X
Participatory interests in Collective Investment Schemes	X	X

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