



PURPLE GROUP
LIMITED

Full Business and Trade name: Purple Group Limited
FSP license Number: 46315
Licensed financial services: Category I
Conditions/restrictions:

1. The FSP must inform the Registrar of any change i.r.o. business information of the FSP.
2. The FSP must at all times maintain the services of any Key Individual and ensure full compliance with Section 8 (4)(b) of the Act.
3. The FSP must within one month of the date contemplated in Section 7 of the Act, inform the Registrar of any change effected to the details as contained in the register.
4. The FSP must not change the name of the business as reflected on the licence, unless certain conditions are met and the Registrar has issued an appropriately amended licence.
5. The FSP must at all times ensure that any financial product i.r.o. which the FSP intends to render a financial service qualifies as a financial product and is lawfully issued.

A full list of the conditions is available on request from the Compliance Officer.

Registration number: **1998/013637/06**
Postal address: Postnet Suite 247, Private Bag X1, Melrose Arch, 2196
Physical address: Ground Floor, Block B, The Offices of Hyde Park, Strouthos Place, Hyde Park, 2196
Telephone: +27 87 940 6000
Fax number: +27 214 8028
Website: <http://www.purplegroup.co.za>
E-mail address: info@purplegroup.co.za

COMPLIANCE DEPARTMENT

Name: Mrs. S Adsetts
Company: Moonstone
Telephone: +27 12 653 6029
Licensed financial services: Category I
E-mail: sadsetts@moonstonecompliance.co.za



**PURPLE GROUP
LIMITED**

**INTERNAL COMPLIANCE &
COMPLAINTS DEPARTMENT**

Name: Kele Mokgosi
Telephone: +27 (0) 87 940 6000
E-mail: info@purplegroup.co.za

FAIS Exemptions:

1. Exemption on services under Supervision in terms of the requirements and conditions of obtaining experience (BN 95 of 2003).
2. Exemption of licensees as regards display certified copies of licenses (BN 40 of 2004)

Fidelity Insurance Cover: R10 000 000.00

Authorised in respect of advisory and intermediary services for the following products:

| Category Description | Advice | Intermediary |
|--|--------|--------------|
| CATEGORY I- Advice and Intermediary Services | | |
| Long-Term Insurance: Category B1 | X | X |
| Long-Term Insurance: Category B2 | X | X |
| Long-Term Insurance: Category C | X | X |
| Retail Pension Benefits | X | X |
| Pension Funds Benefits (excluding retail) | X | X |
| Deposits defined in the Banks act- 12 months or less | X | X |
| Securities and Instruments: Shares | X | X |
| Securities and Instruments: Bonds | X | X |
| Securities and Instruments: Derivative instruments | X | X |
| Securities and Instruments: Money Market instruments | X | X |
| Securities and Instruments: Debentures and securitised debt | X | X |
| Securities and Instruments: Warrants, certificates and other instruments | X | X |
| Participatory interests in Collective Investment Schemes | X | X |